

09/853360

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Grindrod et al.	)	Art Unit: Unassigned
	)	
Application No.: Not yet assigned	)	Examiner: Unassigned
	)	
Filed: Even date herewith	)	Attorney Docket No.: NETAP012
	)	
For: System and Method for Customizing and	)	
Processing Business Logic Rules in a	)	
Business Process System	)	

COPY

ADDED PAGE TO COMBINED DECLARATION AND POWER OF ATTORNEY  
FOR SIGNATURE BY JOINT INVENTOR ON BEHALF OF OMITTED  
INVENTOR WHO REFUSES TO SIGN OR CANNOT BE REACHED  
Pursuant to 37 C.F.R. §1.47 (a)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I am an above-named joint inventor and have signed this declaration on my own  
behalf and also sign this declaration under 37 CFR§1.47(a) on behalf of the omitted  
inventor who

X refuses to sign.

\_\_\_\_\_ cannot be found or reached.

Full name of first omitted inventor: Clinton Hallman

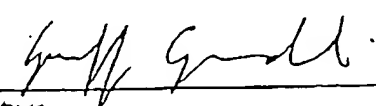
United States

Country of Citizenship of omitted inventor

1241 Pine Grove Road, Yardley, PA 19067

Last known address of omitted inventor

Geoff Grindrod  
Name

  
Signature

Best Available Copy

09/853360

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X refuses to sign.

\_\_\_\_\_ cannot be found or reached.

Full name of first omitted inventor: Clinton Hallman

United States

Country of Citizenship of omitted inventor

1241 Pine Grove Road, Yardley, PA 19067

Last known address of omitted inventor

Oto Slavos  
Name

Oto Slavos  
Signature

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Washington, D.C. 20231

Dear Sir:

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X refuses to sign.

\_\_\_\_\_ cannot be found or reached.

Full name of first omitted inventor: Clinton Hallman

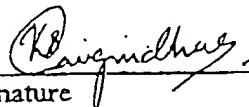
United States

Country of Citizenship of omitted inventor

1241 Pine Grove Road, Yardley, PA 19067

Last known address of omitted inventor

Saigiridhar Kodali  
Name

  
Signature

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Grindrod et al.	)	Art Unit: Unassigned
	)	
Application No.: Not yet assigned	)	Examiner: Unassigned
	)	
Filed: May 10, 20001	)	Attorney Docket No. NETAP012
	)	
For: System and Method for Customizing	)	
Business Logic Rules in a Business	)	
Process System	)	
	)	

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**PETITION FOR APPLICATION BY OTHER THAN ALL THE INVENTORS**  
Pursuant to 37 C.F.R. §1.47 (a)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Applicants Geoff Grindrod, Oto Slavos, and Saigiridhar Kodali (the "remaining joint inventors") hereby petition the Commissioner to accept for application the above-identified U.S. Patent Application by other than all of the inventors.

An Added Page to the Combined Declaration and Power of Attorney is attached hereto in which the remaining joint inventors have signed on behalf of the omitted inventor as required by 37 C.F.R. §1.64 and M.P.E.P. §409.03(a), and includes the last known address of the omitted inventor.


A Declaration of Facts in Support of Applying on Behalf of Omitted Inventor is also attached hereto and provides proof of the pertinent facts regarding the omitted inventor who refuses to sign as required by 37 C.F.R. §1.47(a) and M.P.E.P. §409.03(d).

In view of the refusal of the omitted inventor to sign the papers required for the above-identified application, the remaining joint inventors are believed to be entitled to

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make such an application on behalf of and as agents for the omitted inventor. The required fee pursuant to 37 C.F.R. §1.17(i) is enclosed.

Respectfully submitted,

  
Jung-hua Kuo  
Reg. No. 41,918

P.O. Box 3275  
Los Altos, CA 94024  
Telephone: (650) 988-8070  
Facsimile: (650) 988-8090

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Grindrod et al.	)	Art Unit: Unassigned
	)	
Application No.: Not yet assigned	)	Examiner: Unassigned
	)	
Filed: May 10, 20001	)	Attorney Docket No.: NETAP012
	)	
For: System and Method for Customizing	)	
Business Logic Rules in a Business	)	
Process System	)	

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**DECLARATION OF FACTS IN SUPPORT OF APPLYING  
ON BEHALF OF AN OMITTED INVENTOR  
Pursuant to 37 C.F.R. §1.47 (a)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

This declaration is made as to the facts that are relied upon to establish the diligent effort made to secure the execution of the Declaration for the above-identified patent application by the omitted inventor. This declaration is being made by the available person having first-hand knowledge of the facts recited therein.

I, Jung-hua Kuo, do hereby declare:

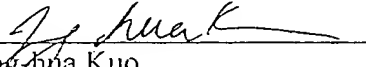
A copy of the subject patent application, Combined Declaration and Power of Attorney, and Assignment were sent to inventor Clinton Hallman on May 3, 2001 (See Exhibit A).

I spoke to Clinton Hallman via telephone on May 3, 2001 and informed him that I was sending the documents. At this time Clinton Hallman was no longer employed by Assignee, Networks Associates Technology, Inc. Clinton Hallman informed me that he would not sign the documents.

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I spoke to Clinton Hallman via telephone again on May 9, 2001 and confirmed that he had received the documents mailed on May 3, 2001. Clinton Hallman again stated that he would not sign the documents.

Respectfully submitted,

  
Jung Hua Kuo  
Reg. No. 41,918

P.O. Box 3275  
Los Altos, CA 94024  
Telephone: (650) 988-8070  
Facsimile: (650) 988-8090

**Jung-hua Kuo**

*Attorney at Law*

P.O. Box 3275  
Los Altos, California 94024  
Tel: 650-988-8070  
Fax: 650-988-8090  
jkuo@kuolaw.com

COPY

Via Electronic Mail, Confirmation Via Mail

May 3, 2001

Clinton Hallman  
1241 Pine Grove Road  
Yardley, PA 19067

Re: U.S. Patent Application No. Not yet assigned  
Entitled "Method for Customizing Business Logic in a Business Process System"  
Inventors: Geoff Grindrod, Oto Slavos, Saigiridhar Kodali, and Clinton Hallman  
NAI File No.: 01.024.01 Attorney Docket No.: NETAP012

Dear Clinton:

Enclosed are documents for the above-reference patent application. Assuming that everything is in order, to prepare for the filing of the patent application with the U.S. Patent and Trademark Office, please review, sign, and return the Combined Declaration and Power of Attorney form to me as described below. Once I receive the executed documents, I will proceed to file them with the United States Patent and Trademark Office.

1. Patent Specification and Claims as attached to the Combined Declaration and Power of Attorney

The Declaration formally "applies" to the patent and the Power of Attorney gives me the power of attorney to prosecute the application in the Patent Office. The Declaration lists four joint inventors. You should read the specification and claims, review the drawings, and read the combined Declaration and Power of Attorney form prior to signing. If everything is correct in these papers and you understand and agree with the statements in the form, you should sign and date the Declaration where indicated. It is improper to alter or mark the specification and claims or the declaration once the signing commences. If there are any questions or if anyone uncovers any errors or omissions, please call me and we will work out a solution before signing of this document.

2. Assignment

The Assignment formally makes of record that Networks Associates Technology, Inc. owns this invention and that the inventors are transferring full ownership of the invention



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to Networks Associates Technology, Inc. The Assignment *may but need not be* executed before a notary public. As with the Declaration, if you have any questions or uncover any errors or omissions, please call me and we will work out a solution before the signing of the Assignment.

Please note that we have a duty to disclose the most pertinent prior art of which we are aware to the Patent Office. All parties involved with the prosecution of this application (including the inventors) are under the duty to disclose. As you know, we have a duty to disclose to the Patent Office any patents, papers, and other public materials that we are aware that may be pertinent to the invention. If you know of any other references that should be cited, please let me know so that I can include them with an Information Disclosure Statement that will be filed with the Patent Office. Additionally, please remember that the duty to disclose pertinent prior art continues until the patent actually issues. Therefore, if you become aware of any references in the future, please let me know.

Once I receive the complete documents, I will file the application in the United States Patent and Trademark Office and send you copies of the papers as filed. If you have any questions, please do not hesitate to contact me.

Very truly yours,



Jung-hua Kuo

Enclosures

cc: Christopher J. Hamaty, Esq.

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09/853360

Atty. Docket No. NETAP012  
Inventors: Grindrod et al.  
Filing Date: Herewith  
For: System and Method for Customizing and Processing Business Log

App. No. Not yet assigned  
By: Jung-hua Kuo  
Date: May 10, 2001

Atty. Docket No. NETAP012  
Inventors: Grindrod et al.  
Filing Date: Herewith  
For: System and Method for Customizing and Processing Business Logic Rules in a Business Process System

App. No. Not yet assigned  
By: Jung-hua Kuo  
Date: May 10, 2001

and Trademark Off

Express Mail Label No.: EL 763525423 US  
The following have been received in the U.S. Patent and Trademark Office on the data stamped hereon:

(1 page);  
);  
f Attorney (3 page

1. Application Transmittal in duplicate (2 pages);
2. Request for Certification under 35 USC 122(b)(2) (1 page);
3. Combined Declaration/Power of Attorney (2 pages);
4. Added Page to the Combined Declaration/Power of Attorney (3 pages)
5. Assignment Recordation Cover Sheet (1 page);
6. Assignment (2 pages); and
7. Specification, Claims & Abstract (61 pages);
8. 10 Sheets of Drawings;
9. Petition for Application by Other Than All the Inventors Pursuant to 37 CFR 1.47(a) (2 pages);
10. Declaration of Facts in Support of Applying on Behalf of an Omitted Inventor Pursuant to 37 CFR 1.47(a) (2 pages);
11. Exhibit A in Support of Declaration (2 pages);
12. This postcard.

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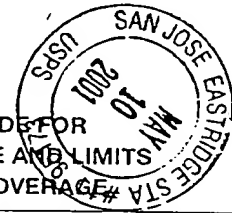
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TO ADDRESSEE



EL 763525423 US

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Date In 5 10 01	<input type="checkbox"/> 12 Noon <input checked="" type="checkbox"/> 3 PM	Postage \$ 16.00	
Time In <input type="checkbox"/> AM <input checked="" type="checkbox"/> PM	<input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day	Return Receipt Fee	
Weight 1.00	Int'l Alpha Country Code	COD Fee	Insurance Fee
No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Acceptance Clerk Initials SP	Total Postage & Fees \$ 16.00	

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FROM: (PLEASE PRINT) JUNG-HUA KUO ATTORNEY AT LAW 1000 CALIFORNIA ST SAN JOSE, CA 95133	TO: (PLEASE PRINT) USPTO Patent Application 2001-0104
PHONE: 650 982-3270	PHONE: 415 292-1111

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